TABLE 1: Participation in Shared Capitalism Programs, 2002 and 2006

Based on analysis of 2002 and 2006 General Social Surveys
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Based on analysis of 2002 and 200		All private sector		For-profit companies	Not-for- profit orgs.	Companies with stock
		2002 (1)	2006 (2)	2006 (3)	2006 (4)	2006 (5)
Percent of employees covered		(1)	(4)	(0)	( )	(0)
Profit sharing						
In profit-sharing plan		33.5%	38.4%	39.6%	23.8%	47.8%
Received profit share last ye	ar	23.8%	30.2%	31.2%	17.3%	38.3%
Gainsharing						
In gainsharing plan		23.2%	26.8%	27.8%	14.7%	34.6%
Received gainsharing bonus	last year	17.1%	21.3%	22.2%	11.1%	28.6%
Own company stock	-	21.2%	17.5%	19.0%	0.0%	34.9%
Stock options						
Hold stock options		13.1%	9.3%	10.0%	0.0%	18.6%
Granted options last year			5.3%	5.7%	0.0%	10.7%
Any of above		43.1%	46.7%	48.6%	23.8%	62.6%
Number of employees covered (mil	lions)					
Total employees in economy^		108.8	114.3	105.7	8.5	56.9
Profit sharing						
In profit-sharing plan		36.5	43.9		2.0	27.2
Received profit share last ye	ar	25.9	34.5	33.0	1.5	21.8
Gainsharing						
In gainsharing plan		25.2	30.6	29.4	1.3	19.7
Received gainsharing bonus	last year	18.6	24.4	23.4	0.9	16.3
Own company stock		23.0	20.0	20.0	0.0	20.0
Stock options						
Hold stock options		14.3	10.6	10.6	0.0	10.6
Granted options last year	Granted options last year		6.1	6.1	0.0	6.1
Any of above		46.9	53.4	51.4	2.0	35.7
Size of financial stakes						
Bonus size if received profit sha	ring					
Dollar value	Mean	\$7,135	\$6,876		\$3,998	\$6,869
	Median	\$1,500	\$2,000		\$4,000	\$2,000
Percent of salary	Mean	8.5%	9.5%	9.7%	5.1%	9.2%
	Median	4.5%	5.4%	5.5%	5.1%	5.5%
Bonus size if received gainsharing						
Dollar value	Mean	\$7,797	\$7,788		\$4,704	\$7,903
	Median	\$1,500	\$2,500		\$4,000	\$2,500
Percent of salary	Mean	8.9%	10.0%		5.5%	9.2%
	Median	3.8%	6.0%	6.2%	5.1%	6.0%
Company stock value if own stock						
Dollar value	Mean	\$46,411	\$32,691	\$32,691		\$32,691
	Median	\$10,000	\$10,000			\$10,000
Percent of salary	Mean	81.4%	56.8%	56.8%		56.8%
	Median	21.2%	25.0%	25.0%		25.0%
Sample size		1242	1173	1081	92	595

Profit sharing is defined as eligibility for bonuses based on overall organizational performance. Gainsharing is defined as eligibility for bonuses based on department or plant performance.

^ The figure for total private sector employees comes from Bureau of Labor Statistics establishment data for July 2002 and 2006. The BLS does not provide employee counts for not-for-profit organizations and companies with stock, so columns 3-5 are estimates based on the distribution of respondents in the General Social Survey sample.

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From the Web site of the National Center for Employee Ownership (NCEO), www.nceo.org

TABLE 2a: Participation in Shared Capitalism Programs, by Job Characteristics (2002)

	Percent cov		Percent wh	Sample size	
	Profit sharing	Gainsharing	Company stock		
	(1)	(2)	(3)	Stock options (4)	(5)
Overall	33.5%	23.2%	21.2%	13.1%	
Industry					
Ag./mining/constr.	21.1%	13.7%	13.8%	5.3%	95
Durable mfg.	53.2%	39.4%	33.7%	23.4%	94
Non-durable mfg.	49.1%	26.8%	29.6%	16.7%	112
Transportation	33.3%	21.2%	29.2%	12.5%	66
Comms./utilities	46.8%	38.3%	55.3%	42.6%	
Wholesale	32.7%	21.8%	23.2%	10.7%	
Retail	27.9%	22.7%	15.8%	10.5%	
Finance/insurance	51.1%	39.8%	39.8%	27.1%	
Computer services	54.2%	37.5%	58.3%	56.5%	
Other services	24.8%	15.3%	9.4%	4.8%	
Occupation			011/0		
Management	49.2%	33.9%	28.8%	14.8%	124
Mgtrelated	60.4%	45.3%	39.6%	22.6%	
Professional	30.0%	20.6%	21.6%	17.3%	
Other white-collar	38.2%	27.5%	23.4%	16.7%	
Service	12.5%	8.0%	7.4%	4.0%	
Blue-collar	31.6%	20.5%	20.1%	10.0%	
Hours of work	51.070	20.070	20.170	10.070	552
Full-time	37.4%	26.4%	24.5%	15.3%	994
Part-time	16.9%	10.6%	5.8%	2.4%	
Union member	10.376	10.076	5.0 %	2.470	207
Yes	11.4%	6.8%	27.6%	14.9%	88
No	34.9%	22.9%	21.8%	13.6%	
Employer tenure	54.9%	22.9 <i>1</i> 0 A	21.0/0	13.070	133
	31.1%	23.1%	12.9%	7.8%	537
0-2 years	33.5%	23.1%	25.0%	16.7%	
2-4 years	39.3%	22.7%	30.2%		
5-9 years				21.0%	
10+ years	33.5%	23.8%	27.5%	14.6%	260
Yearly work earnings	40.00/	4 4 70/		4.00/	054
<\$15,000	18.3%	14.7%	5.5%	4.0%	
\$15-30,000	28.1%	18.4%	18.0%	9.7%	
\$30-50,000	37.5%	27.0%	28.4%	14.9%	
\$50-75,000	59.0%	36.8%	36.6%	24.3%	
\$75,000+	64.0%	45.3%	50.7%	41.3%	75
Size of establishment					
1-9 employees	21.3%	15.0%	10.3%	5.6%	
10-49 employees	30.7%	20.4%	13.3%	6.2%	
50-99 employees	39.5%	31.4%	21.4%	14.5%	
100-400 employees	40.9%	26.4%	27.6%	20.2%	
500-999 employees	44.3%	25.3%	30.8%	23.1%	
1000-1999 employees	42.2%	37.5%	38.8%	16.9%	
2000+ employees	34.2%	21.5%	46.8%	29.5%	79

Figures represent percentages of all private-sector employees in category at left who are covered by program at top of column. Based on 2002 General Social Survey.

Profit sharing is defined as eligibility for bonuses based on overall organizational performance. Gainsharing is defined as eligibility for bonuses based on department or plant performance.

^ Differences among categories are not statistically significant at the 95% level for the union member variable in columns 3 and 4, and the tenure variable in columns 1 and 2. Differences among categories are statistically significant at the 99.9% level for all other breakdowns. From the Web site of the National Center for Employee Ownership (NCEO), www.nceo.org

TABLE 2b: Participation in Shared Capitalism Programs, by Job Characteristics (2006)

Percent covered by: Percent who hold: Sample Profit sharing Gainsharing Stock options size Company stock (2)(4)(5)(1)(3)Overall 9.3% 1173 38.4% 23.2% 17.5% Industry Ag./mining/constr. 20.8% 12.9% 8.5% 5.2% 88 Durable mfg. 49.9% 38.9% 25.9% 17.8% 73 Non-durable mfg. 55.7% 37.1% 26.8% 15.6% 112 Transportation 34.3% 28.4% 26.9% 16.0% 54 Comms./utilities 50.2% 46.7% 43.8% 27.9% 47 Wholesale 51.9% 17.7% 15.0% 10.1% 42 Retail 32.8% 22.3% 9.6% 5.1% 225 52.0% 31.9% 12.0% 108 Finance/insurance 39.3% Computer services 70.8% 48.3% 31.3% 27.6% 28 Other services 29.8% 20.9% 10.6% 2.9% 352 Occupation Management 57.9% 45.3% 24.9% 14.4% 110 Mgt.-related 52.2% 26.6% 15.2% 3.5% 77 Professional 11.1% 170 34.9% 30.4% 21.6% Other white-collar 42.0% 25.8% 21.8% 11.1% 359 Service 5.5% 4.2% 146 26.8% 19.3% Blue-collar 32.0% 14.1% 8.4% 300 23.6% Hours of work 10.0% 970 Full-time 41.4% 29.6% 19.3% Part-time 22.8% 12.7% 7.5% 5.6% 176 Union member No 39.3% 27.0% 16.0% 8.1% 705 Yes 18.6% 12.4% 20.3% 9.3% 71 Employer tenure 0-2 years 29.9% 22.2% 8.2% 3.3% 534 2-4 years 44.6% 35.9% 14.8% 9.8% 135 5-9 years 48.3% 31.5% 22.0% 11.6% 251 10+ years 44.1% 27.5% 35.1% 20.2% 243 Yearly work earnings 11.4% 4.5% 2.2% 206 <\$15,000 18.9% \$15-30,000 33.1% 20.7% 7.4% 4.7% 237 \$30-50,000 42.5% 26.9% 23.9% 13.6% 276 \$50-75,000 45.1% 32.8% 32.1% 16.1% 143 \$75.000+ 75.7% 60.9% 39.3% 19.5% 107 Size of establishment 1-9 employees 26.7% 16.3% 7.5% 2.9% 252 10-49 employees 36.7% 27.4% 11.2% 8.2% 279 50-99 employees 39.2% 29.9% 19.0% 12.9% 154 100-499 employees 42.4% 27.1% 23.3% 10.2% 252 500-999 employees 52.8% 24.3% 32.9% 13.3% 69 1000-1999 employees 36.2% 23.7% 10.6% 54 39.7% 2000+ employees 55.7% 47.7% 34.0% 19.4% 98 Size of company 1-9 employees 19.4% 9.3% 2.8% 0.6% 156 10-49 employees 30.5% 19.6% 5.9% 4.2% 204 50-99 employees 6.5% 103 28.5% 20.7% 11.6% 100-499 employees 7.4% 196 42.5% 29.2% 14.4% 500-999 employees 47.8% 23.3% 32.9% 13.7% 74 1000-1999 employees 49.7% 36.5% 20.8% 6.5% 65 2000-9999 employees 47.3% 31.3% 24.8% 17.2% 136 10,000+ employees 59.8% 54.0% 39.9% 21.6% 137

Figures represent percentages of all private-sector employees in category at left who are covered by program at top of column. Based on 2006 General Social Survey.

Profit sharing is defined as eligibility for bonuses based on overall organizational performance. Gainsharing is defined as eligibility for bonuses based on department or plant performance. Differences among categories are statistically significant at the 99.9% level for all breakdowns.

	All	Covered by profit sharing		Covered by gainsharing		Hold co. stock		Hold stock options	
		No	Yes	No	Yes	No	Yes	No	Yes
Fixed pay relative to market									
Lower	32.4%	37.6% *	24.1%	36.8% *	20.2%	32.8%	30.9%	33.2%	26.4%
Same	46.9%	44.1% *	51.2%	45.9%	49.2%	47.6%	43.5%	46.4%	49.7%
Higher	20.7%	18.3% *	24.8%	17.2% *	30.5%	19.6%	25.6%	20.4%	23.8%
Employee involvement team	30.2%	23.1% *	42.1%	25.2% *	44.7%	27.5% *	43.0%	29.1% *	41.6%
Self-directed work team	33.3%	27.2% *	43.8%	28.0% *	48.7%	32.3%	38.6%	32.4% *	44.0%
Training in past year	47.3%	39.6% *	59.0%	40.0% *	66.5%	43.6% *	64.8%	45.0% *	70.2%
How hard co-workers work									
Mean of 0-10 scale	7.15	7.00 *	7.35	7.06 *	7.36	7.11	7.27	7.11	7.39
Not hard (0-3)	6.9%	8.2% *	4.9%	7.5%	5.4%	7.3%	5.1%	7.2%	3.8%
Somewhat hard (4-6)	27.0%	29.2% *	23.5%	28.3%	23.6%	28.1%	22.4%	27.6%	22.8%
Hard (7-10)	66.2%	62.5% *	71.6%	64.2% *	71.0%	64.6% *	72.5%	65.1%	73.5%
Ease of seeing co-workers wo	ork								
Mean of 0-10 scale	7.93	7.87	8.06	7.87	8.15	7.91	8.03	7.88	8.47
Difficult (0-3)	11.4%	11.9%	10.6%	12.0%	9.6%	11.0%	13.3%	11.9% *	5.2%
Somewhat easy (4-6)	10.6%	12.1% *	7.8%	11.3%	8.3%	11.6% *	6.3%	10.8%	9.7%
Easy (7-10)	77.9%	76.0% *	81.6%	76.7% *	82.1%	77.4%	80.3%	77.3%	85.1%
Percent of employee ownersh bought with employee savings									
or money from wages									
Mean							43.2%		
Median							50.0%		
0%							28.2%		
1-33%							19.3%		
34-66%							17.5%		
67-99%							12.1%		
100%							23.0%		
Sample size	1173	704	447	847	304	957	207	1035	113

Table 3: Pay and HR Measures, by Type of Shared Capitalism, 2006

\* Difference between those with this type of shared capitalism and all other employees is significant at the 95% level.